



|                    |                     |                       |                           |
|--------------------|---------------------|-----------------------|---------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|

09/464,352 12/15/99 KIENHOFFER

J 4968-706

0212/0214



KENT R RICHARDSON  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO CA 94304

DATE MAILED: 2787

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

02/14/00

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☐ non-small entity is \$ 870.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

- \$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

FORM PTO-1533 (REV. 9/98)

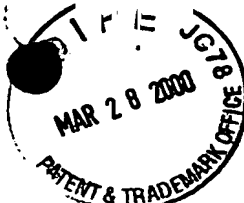
PART 2 - COPY TO BE RETURNED WITH RESPONSE

U.S. GPO 1999

09464352  
232415  
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CUBORCHA  
0330/2000  
0157C:101  
02 FC:105  
760.00 CH  
130.00 CH

**BEST AVAILABLE COPY**

Practitioner's Docket No. 4968-706



*SECRET*  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Jürgen Kienhöfer, Ranjit Deshpande

Group No.: 2787

Serial No.: 09/464,352

Examiner: Not Yet Assigned

Filed: 15 December 1999

For: Method And Apparatus For Executing Multiple Java(TM) Applications On A Single Java(TM) Virtual Machine

Assistant Commissioner for Patents  
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS  
—NONPROVISIONAL APPLICATION**

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed 14 February 2000. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**POWER OF ATTORNEY**

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

**COMPLETION FEES**

- |     |  |          |
|-----|--|----------|
| IV. | 1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))               | \$690.00 |
|     | 1. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))            | \$0.00   |
|     | 2. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b)) | \$0.00   |
|     | 3. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))             | \$130.00 |
|     | 4. Assignment (See "ASSIGNMENT COVER SHEET".)                                | \$40.00  |
|     | Total completion fees  | \$860.00 |

**TOTAL FEE DUE**

- V. The total fee due is: Completion fees \$860.00

**TOTAL FEE DUE \$860.00**

**PAYMENT OF FEES**

- VI. Charge Account No. 23-2415(4968-706) in the amount of \$860.00.  
Please charge Account No. 23-2415 (4968-706) for any fees that may be due by this paper.

Erik L. Oliver, Reg. No. P46,296

Wilson Sonsini Goodrich & Rosati  
650 Page Mill Road  
Palo Alto, CA 94304  
(650) 493-9300

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner for Patents,  
Washington D.C. 20231, on 21 March 2000

Signature  
3/21/00  
Date

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2000***Patent fees are subject to annual revision.**Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.**See 37 C.F.R. §§ 1.27 and 1.28.***TOTAL AMOUNT OF PAYMENT** (\$) 860.00**Complete if Known**

|                        |                  |
|------------------------|------------------|
| Application Number     | 09/464,352       |
| Filing Date            | 15 December 1999 |
| First Named Inventor   | Jürgen Kienhöfer |
| Examiner Name          | Not Yet Assigned |
| Group/Art Unit         | 2787             |
| Attorney Docket Number | 4968-706         |

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **23-2415 (Docket No. 4968-706)**Deposit Account Name **Wilson Sonsini Goodrich & Rosati**

- ☒
- Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- 2.
- ☐
- Payment Enclosed:**

☐ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 690             | 201            | 345             | Utility filing fee     | 690.00   |
| 106            | 310             | 206            | 155             | Design filing fee      |          |
| 107            | 480             | 207            | 240             | Plant filing fee       |          |
| 108            | 690             | 208            | 345             | Reissue filing fee     |          |
| 114            | 150             | 214            | 75              | Provisional filing fee |          |

**SUBTOTAL (1)** (\$) 690.00**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 13                 | -20** = 0    | x              | 0.00     |
| 3                  | -3** = 0     | x              | 0.00     |
| Multiple Dependent |              |                |          |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102            | 78              | 202            | 39              | Independent claims in excess of 3                         |
| 104            | 260             | 204            | 130             | Multiple dependent claim, if not paid                     |
| 109            | 78              | 209            | 39              | **Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$) 0.00**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Fee Code      | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|---------------------|-----------------|----------------|-----------------|--|----------|
| 105                 | 130             | 205            | 65              | Surcharge - late filing fee or oath  | 130.00   |
| 127                 | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                 | 130             | 139            | 130             | Non-English specification  |          |
| 147                 | 2,520           | 147            | 2,520           | For filing a request for reexamination                                     |          |
| 112                 | 920             | 112            | 920             | Requesting publication of SIR prior to Examiner action                     |          |
| 113                 | 1,840           | 113            | 1,840           | Requesting publication of SIR after Examiner action                        |          |
| 115                 | 110             | 215            | 55              | Extension for replay within first month                                    |          |
| 116                 | 380             | 216            | 190             | Extension for reply within second month                                    |          |
| 117                 | 870             | 217            | 435             | Extension for reply within third month                                     |          |
| 118                 | 1,360           | 218            | 680             | Extension for reply within fourth month                                    |          |
| 128                 | 1,850           | 228            | 925             | Extension for reply within fifth month                                     |          |
| 119                 | 300             | 219            | 150             | Notice of Appeal   |          |
| 120                 | 300             | 220            | 150             | Filing a brief in support of an appeal                                     |          |
| 121                 | 260             | 221            | 130             | Request for oral hearing   |          |
| 138                 | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140                 | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141                 | 1,210           | 241            | 605             | Petition to revive - unintentional   |          |
| 142                 | 1,210           | 242            | 605             | Utility issue fee (or reissue)   |          |
| 143                 | 430             | 243            | 215             | Design issue fee   |          |
| 144                 | 580             | 244            | 290             | Plant issue fee  |          |
| 122                 | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123                 | 50              | 123            | 50              | Petitions related to provisional applications                              |          |
| 126                 | 240             | 126            | 240             | Submission of Information Disclosure Stmt                                  |          |
| 581                 | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146                 | 690             | 246            | 345             | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149                 | 690             | 249            | 345             | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| Other fee (specify) |                 | 25             |                 | Request for Corrected Filing Receipt                                       |          |
| Other fee (specify) | 55/110          |                |                 | Terminal Disclaimer  |          |

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 170.00**SUBMITTED BY**Name (Print/Type) **Erik L. Oliver**

Registration No. (Attorney/Agent)

P46,296

**Complete (if applicable)**Telephone **650-493-9300**

Signature

Date

3/21/2000